



AUDIT COMMITTEE - 19TH JUNE 2013

SUBJECT: CERTIFICATION OF GRANTS AND RETURNS 2010-11

REPORT BY: ACTING HEAD OF CORPORATE FINANCE

1. PURPOSE OF REPORT

- 1.1 To present the Audit Committee with the WAO report on the "Certification of Grants and Returns 2010-11" for Caerphilly CBC. The Council's External Auditors, PriceWaterHouseCoopers will be in attendance to present the detailed report, which is attached.

2. LINKS TO STRATEGY

- 2.1 To ensure that the Council's strategies are delivered in a proper and transparent way.

3. THE REPORT

- 3.1 The following provides a brief summary of the findings of the report:-
- The Audit has concluded that whilst the Authority had good arrangements in place for the production and submission of its 2010-11 grant claims, there is some scope for improvement. The recommendations arising from the audit and the Authority's response are set out in Section 10 of the attached report.
 - For 2010-11, 33 grant claims were certified, with a total value of £170.1m compared to 51 grants with a total value of £141.2m in 2009-10.
 - The Authority submitted 82% of its 2010-11 grant claims on time.
 - 1:5.5 of the claims were qualified; which compares well with the Welsh average of 1:4 for 2009-10.
 - Adjustments were necessary to 10 of the Authority's grants as a result of the Auditors certification work; the net adjustment of £638k represents 0.37% of the total grants claimed.

4. FINANCIAL IMPLICATIONS

- 4.1 The financial adjustments highlighted in the audit will be actioned.

5. EQUALITIES IMPLICATIONS

- 5.1 This report is for information only, therefore no Equality Impact Assessment has been undertaken.

6. PERSONNEL IMPLICATIONS

- 6.1 There are no personnel implications.

7. CONSULTATIONS

- 7.1 All consultees comments have been reflected in the report.

8. RECOMMENDATIONS

- 8.1 That the contents of the report be noted.

Author: Stephen Harris, Acting Head of Corporate Finance,
E-mail: harrisr@caerphilly.gov.uk Tel: 01443 863022

Consultees: N. Scammell, Acting Director of Corporate Services & S151 Officer
Andrew Southcombe, Finance Manager Corporate Finance
Dave Roberts, Principal Group Accountant - Financial Advice and Support
Mike Eedy, Finance Manager, Environment
Jane Southcombe, Group Accountant, Education

Appendices:
Appendix 1 Wales Audit Office Certification of Grants and Returns 2010-2011